SEC Form 4

Instruction 1(b)

[]

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	rden
hours per response:	0.5

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

						01 000		mvcat	men		ipuily / lot l	JI 10								
1. Name and Address of Reporting Person [*] Elashmawi Esam							2. Issuer Name and Ticker or Trading Symbol LATTICE SEMICONDUCTOR CORP [LSCC]									onship of Reporting Person(s) to Issuer all applicable) Director 10% Owner Officer (give title Other (specify			wner (specify	
(Last) 5555 NE	E MOOR	(First) E COURT	3. Date of Earliest Transaction (Month/Day/Year) 02/19/2021									X b	elow) SVP,	, Mktg	; & St	below) rategy				
(Street) HILLSB (City)	ORO	OR (State)		97124 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year) 03/29/2021								Line	e) <mark>X</mark> F F	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Tab	le I - Nor	n-Deriva	ative S	ecurities Ac	quire	ed,	Disp	osed o	f, o	r Bene	eficial	y Ow	ned				
1. Title of Security (Instr. 3) Date (Month/D						2A. Deemed Execution Date, if any (Month/Day/Yea	Co	Transaction Disposed Of (D) (Instr. 3, Code (Instr. 5)					See Bei Ow	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
								Co	de	v	Amount		(A) or (D)	Price	Tra	nsaction(str. 3 and				(Instr. 4)
			٦				curities Acq ls, warrants							-	Own	ed				
1. Title of	2.	3. Transa	I 4.		5. Number	6. Date	e Ex	ercisa	ble and	7. 1	Title and	Amount	8. Pri	ce of 9.	Number	r of	10.	11. Nature		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ed nstr.	6. Date Exerci Expiration Dat (Month/Day/Ye	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit (RSU) ⁽¹⁾	\$0 ⁽²⁾	02/19/2021		A		12,543		02/19/2022 ⁽³⁾	(4)	Common Stock	12,543	\$0 ⁽⁵⁾	168,789	D	

Explanation of Responses:

1. There is no amendment to the transaction reported in this line item. The purpose of this Form 4 amendment is to reflect that the grants of (i) 12,543 Performance Restricted Stock Units (PRSUs) and (ii) 50,174 PRSUs, each reported in Table II of the original Form 4, should not have been reported. As the vesting of the PRSUs is subject to performance criteria other than the passage time or the market price of the Issuer's securities, the PRSUs were not reportable at the time of grant as they did not constitute "derivative securities" for purposes of Section 16.

2. Restricted Stock Units - no exercise price for this transaction.

3. Grants of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest on the first anniversary of the date of grant, and an additional 6.25% of the RSUs shall vest at the end of each three month period thereafter.

4. Not applicable

5. Restricted Stock Units - no purchase price for this transaction.

<u>/s/ Tracy Feanny, Attorney in</u> Fact For: Esam Elashmawi

02/21/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.