## SEC Form 4

С

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden

hours per response

0.5

)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Luther Sherr	ss of Reporting Pers i <u>R</u>	on*	2. Issuer Name and Ticker or Trading Symbol LATTICE SEMICONDUCTOR CORP [ LSCC ]		tionship of Reporting Pers ( all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify		
(Last) 5555 NE MOOI	(First) RE COURT	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/14/2023		A below) below)			
,			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) HILLSBORO	OR	97124		X	Form filed by One Repo Form filed by More than Person	÷		
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication					
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/14/2023		М		1,819	A	<b>\$0</b> <sup>(1)</sup>	157,589	D	
Common Stock	05/14/2023		F <sup>(2)</sup>		902	D	\$81.61	156,687	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 8. Price of 10. Expiration Date (Month/Day/Year) Amount of Securities of Indirect Beneficial Derivative Conversion Date Execution Date Transaction of Derivative derivative Ownership Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative Security Securities Beneficially Form: 8) Underlying (Instr. 5) Direct (D) Ownership Securities Acquired (A) or Disposed Derivative Derivative Security Owned or Indirect (Instr. 4) (Instr. 3 and 4) Security Following (I) (Instr. 4) Reported Transaction(s) of (D) (Instr. 3, 4 (Instr. 4) and 5) Amount Number Expiration Date v (D) Title Code (A) Exercisable Date Shares Restricted

Explanation of Responses:

\$<mark>0</mark>(3)

1. Restricted Stock Units (RSUs) - no purchase price for this transaction.

05/14/2023

2. These shares were retained by the Issuer in order to meet the tax withholding obligations of the Reporting Person in connection with the vesting of an installment of the restricted stock units. The amount retained by the Issuer was not in excess of the amount of the tax liability.

(4)

1.819

3. Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuer's common stock without payment of specific consideration.

Μ

4. Grants of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest on the first anniversary of the date of grant, and an additional 6.25% of the RSUs shall vest at the end of each three month period thereafter.

5. Not applicable.

Stock

(RSU)

Unit

<u>/s/ Tracy Feanny, Attorney in</u>	05
Fact For: Sherri R. Luther	<u>05</u>
tt Cimetan of Deserting Desert	<b>D</b> -

1,819

\$<mark>0</mark>

Commo

Stock

(5)

05/16/2023

43,374

D

\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.